



香港海關
CUSTOMS AND EXCISE DEPARTMENT

表格 3B
適當人選聲明表格
(適用於法團合夥人/董事)

Form 3B
Fit and Proper Person Declaration Form
(For corporate partner/director)

香港法例第615章《打擊洗錢及恐怖分子資金籌集條例》
Anti-Money Laundering and Counter-Terrorist Financing Ordinance, Chapter 615, Laws of Hong Kong

請用正楷及黑色筆填寫表格。填寫本表格前，請先閱讀填表須知。

Please complete this form in BLOCK LETTERS and black ink. Please read the Guidance Notes before completing this form.

第一部 Part I 與本聲明表格有關連的申請人/B類註冊人的一般資料
General Information of the Applicant/Category B registrant connected to this Declaration Form

*申請人/B類註冊人的業務/法團名稱 Name of the *Applicant's/Registrant's Business/Corporation	
商業登記號碼 Business Registration No.	

第二部 Part II 申請人業務/法團的法團合夥人/董事的詳情或
要求批准擔任註冊人的法團合夥人/董事的詳情
**Particulars of the corporate Partner/Director of the Applicant or
Particulars of the corporation proposing to become a Category B registrant's corporate Partner/Director**

<input type="checkbox"/> 合夥人 Partner <input type="checkbox"/> 董事 Director

法團名稱 (英文) Name of Corporation (in English)									
法團名稱 (中文) Name of Corporation (in Chinese)									
商業登記號碼 Business Registration No.									
屆滿日期 (日/月/年) Date of Expiry	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
公司編號 Company No.									
成立日期 (日/月/年) Date of Incorporation	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
成立地點 Place of Incorporation									

1. (a) 法團有否在香港或其他地方就任何罪行被定罪？
Has the corporation ever been convicted of any offence in Hong Kong or elsewhere?
- ☐ 有 Yes ☐ 沒有 No
- (b) 如問題 1(a) 的答案是「有」，請提供以下資料 (有需要時可用另紙填寫)：
If the answer to 1(a) is 'Yes', please give the following details (Use additional sheets when necessary):
- (i) 所犯罪行：
The offence: _____
- (ii) 曾施加的刑罰(如有的話)：
Penalty imposed (if any): _____
- (iii) 定罪日期：
Date of conviction: _____
- (iv) 定罪地方：
Place of conviction: _____
- (v) 審訊該罪行的法院名稱：
Name of the court which tried the offence: _____
2. (a) 你有否被裁定不遵從根據香港法例第615章《打擊洗錢及恐怖分子資金籌集條例》(下稱「打擊洗錢條例」)所施加的要求，或海關關長根據打擊洗錢條例第53ZVS條所訂立的任何規例？
Have you ever been found to have failed to comply with any requirements imposed under the Anti- Money Laundering and Counter-Terrorist Financing Ordinance, Chapter 615, Laws of Hong Kong (hereinafter referred as "AMLO") or any regulation made by the Commissioner of Customs and Excise under section 53ZVS of the AMLO?
- ☐ 有 Yes ☐ 沒有 No
- (b) 如問題 2(a) 的答案是「有」，請提供以下資料：
If the answer to 2(a) is 'Yes', please give the following details:
- 被裁定不遵守要求/規例的日期：
Date(s) of failing to comply with the requirements /regulations: _____
3. (a) 第 2 部所述的法團是否正在清盤中？
Is the corporation as mentioned in Part 2 in liquidation?
- ☐ 是 Yes [請回答 3(d)] [Please answer 3(d)]
☐ 否 No [請回答 3(b)] [Please answer 3(b)]
- (b) 第 2 部所述的法團是否正面進任何清盤令？
Is the corporation as mentioned in Part 2 the subject of a winding up order?
- ☐ 是 Yes [請回答 3(d)] [Please answer 3(d)]
☐ 否 No [請回答 3(c)] [Please answer 3(c)]
- (c) 有否就第 2 部所述的法團委任接管人？
Is there a receiver appointed in relation to the corporation as mentioned in Part 2?
- ☐ 有 Yes [請回答 3(d)] [Please answer 3(d)]
☐ 否 No [請去第 4 部] [Please go to Part 4]
- (d) 請提供詳情：
Please provide the details:
- (i) 個案編號：
Case No.: _____
- (ii) 清盤令的日期：
Date of the winding-up order: _____
- (iii) 頒布清盤令的法院名稱：
Name of the court which made the winding-up order: _____

備註：如所提供的空位不敷應用，請影印該頁，以填寫所需資料。

Remarks: If the space provided is not enough, please make a photocopy of this page for filling in all the required information.

本人謹此聲明：

I declare that:

- (a) 在本聲明表格內提供的所有資料是全屬完整、真實及正確無誤；
The information given in this Declaration Form is true and correct;
- (b) 本人已閱讀香港法例第615章《打擊洗錢及恐怖分子資金籌集條例》(下稱「打擊洗錢條例」)及2022年第15號條例《2022年打擊洗錢及恐怖分子資金籌集(修訂)條例》。本人明白打擊洗錢條例第53ZVT條訂明任何人(1)作出在要項上屬虛假或具誤導性的陳述；並知道該項陳述在要項上屬虛假或具誤導性，或罔顧該項陳述是否在要項上屬虛假或具誤導性；或(2)在某項陳述中遺漏任何要項，以致該項陳述成為虛假或具誤導性的陳述；及知道該項陳述遺漏該要項，或罔顧該項陳述是否遺漏該要項，即屬犯罪，一經定罪，可被判罰款港幣50,000元及監禁6個月；

I have read the Anti-Money Laundering and Counter-Terrorist Financing Ordinance Chapter 615 (hereinafter referred to as "the AMLO") and the Anti-Money Laundering and Counter-Terrorist Financing (Amendment) Ordinance 2022, Ordinance No. 15 of 2022, Laws of Hong Kong. I understand that section 53ZVT of the AMLO provides that any person who (1) makes a statement that is false or misleading in a material particular; and knows that, or is reckless as to whether, the statement is false or misleading in a material particular; or (2) omits a material particular from a statement with the result that the statement is rendered false or misleading; and knows that, or is reckless as to whether, the material particular is omitted from the statement, commits an offence and is liable on conviction to a fine of \$50,000 and to imprisonment for 6 months;

- (c) 本人已閱讀有關申請貴金屬及寶石交易商註冊的適當人選聲明表格 3B 的填表須知第 C 部所載的收集個人資料聲明，並明白當中內容。

I have read the Personal Information Collection Statement stated in Part C of the Guidance Notes on Form 3B Fit and Proper Person Declaration Form for the registration of the Dealers in Precious Metals and Stones and understand the contents stated therein.

(法團獲授權人士簽署及公司印章)
(Signature of the authorized person of the corporation and company chop)

(日期)
(Date)

(姓名(正楷))
(Name in block letters)

(*香港身份證 / 旅遊證件號碼)
(*HKID / Travel Document No.)

備註： 本表格應由法團合夥人/董事的獲授權人士簽署及蓋上法團的公司印章，以及夾附有關法團董事局簽署的授權書。

Remarks: This form should be signed by an authorized person of the corporate partner/director/ultimate owner with company chop of the corporation and a copy of authorization letter signed by the Board of Directors of the Corporation concerned should be attached.